



Victor J. Rocco

Partner; Chair, White Collar Defense & Investigations vrocco@herrick.com (212) 592-1422 PHONE (212) 545-2333 FAX

Victor Rocco is the chair of Herrick's White Collar Defense & Investigations Practice. Vic has extensive trial and appellate experience in federal and state courts and regularly represents U.S. and foreign corporations in internal corporate investigations, criminal, civil and regulatory matters, arbitrations and complex commercial disputes.

Vic defends individuals and corporations in private securities actions, enforcement and regulatory proceedings, derivative lawsuits, civil antitrust matters and RICO actions. He has extensive experience in cross-border investigations and prosecutions, extradition matters, bankruptcy litigation, insurance coverage disputes and in complex commercial litigation involving licensing, business torts and breach of contract claims.

Vic has represented a broad spectrum of clients who have been sued, investigated, and/or charged with violations of securities statutes and regulations including the federal RICO, Sherman and Clayton Acts, Foreign Corrupt Practices Act, Taft-Hartley Act, Hobbs Act, and Lacey Act, in addition to statutes involving money laundering; mail and wire fraud; bank, tax and bankruptcy fraud; smuggling, and export controls.

Prior to entering private practice, Vic was Chief of the Criminal Division for the U.S. Attorney's Office for the Eastern District of New York and, prior to that, Chief of the Office's Narcotics section. He also served as law clerk to the Honorable John F. Scileppi, Associate Judge of the New York State Court of Appeals.

Vic has been recognized as a top white collar criminal defense attorney by Thomson Reuters' *Super Lawyers* (2006 - 2024), which rates outstanding lawyers who have attained a highdegree of peer recognition and professional achievement. He also frequently lectures on RICO, complex litigation tactics, legal ethics, and trial strategies and techniques; and has taught trial advocacy at the Attorney General's Advocacy Institute, The National Institute for Trial Advocacy, the Practising Law Institute and before a number of professional groups.

Services

- Litigation
- White Collar Defense & Investigations
- Securities Litigation and Enforcement
- MENA (Middle East and North Africa)
- International

Education

- St. John's University School of Law (J.D., 1970)
- St. John's University (B.A., *cum laude*, 1967)

Recognitions and Accolades



• Thomson Reuters New York Metro Super Lawyers (2006 - 2024) Award Methodology

Memberships & Associations

- Law Clerk, Hon. John F. Scileppi, Associate Judge, New York Court of Appeals (1970 -1972)
- American Bar Association, Criminal Justice Section
- New York State Bar Association, Commercial Litigation Section
- The Association of the Bar of the City of New York
 - o Committee on Professional Responsibility (2000 2003)
 - Committee on Criminal Advocacy (1986 1989), Committee on Criminal Law (1983 1986)
 - o Ad Hoc Committee on Pretrial Publicity (1986)
- New York State Association of Criminal Defense Lawyers
- Federal Bar Council
- New York Council of Defense Lawyers
 - o Director (1989 1992)
 - o Secretary-Treasurer (1996 1998)
 - o Vice President (1998 2000)
 - o President (2001 2003)
- The Legal Aid Society, Board of Advisors (2006 2007)
- The Legal Aid Society, Board of Directors

News

October 24, 2024

Congratulations to Herrick Attorneys on their Selection to Super Lawyers 2024 *Super Lawyers*

September 22, 2023

Congratulations to Herrick Attorneys on their Selection to Super Lawyers 2023 *Super Lawyers*

September 29, 2022

Congratulations to Herrick Attorneys on their Selection to Super Lawyers 2022 *Super Lawyers*

March 3, 2022

Turkey's Quest for the Stargazer: Part 2 The Art Law Podcast

September 30, 2021

Congratulations to Herrick Attorneys on their Selection to Super Lawyers *Super Lawyers*

October 28, 2020

Congratulations to Herrick Attorneys on their Selection to Super Lawyers *Super Lawyers*



September 12, 2019 Congratulations to Herrick Attorneys on their Selection to Super Lawyers *Super Lawyers*

March 19, 2015 How to Handle an Investigation of Your Art Gallery *Artelligence Podcast*

July 16, 2014 Victor J. Rocco's Move to Herrick Reported in Major News Outlets The Wall Street Journal, The American Lawyer, Bloomberg News, The Deal, and Thompson Reuters' Westlaw

July 8, 2014 Victor J. Rocco to Lead Herrick, Feinstein's White Collar Defense and Investigations Practice *Herrick, Feinstein LLP*

Publications

March 11, 2022 Treasury Releases Much-Anticipated Study on Illicit Finance in the High-Value Art Market

December 1, 2021 New Anti-Money Laundering Regulations for Antiquities Trade Due at Year's End

September 2016 New Jersey Federal Criminal and Regulatory Alert

June 2016 SEC Fines Morgan Stanley \$1 Million for Failing to Secure Client Data

June 2016 FINRA Adopts New Rule 2272 Regarding Securities Offers and Sales on Military Installations

December 2, 2015 New Jersey Federal Criminal and Regulatory Alert

March 19, 2015

Real Estate Litigation Alert: How New York's Living Wage Law Affects Commercial Leases, New York Combats Abuses of MBWE Programs, Attorney General Cracks Down on Improper 421-a Recipients